

RECEIVED
CENTRAL FAX CENTER

SEP 13 2005

KING & SPALDING, LLP

191 Peachtree Street
Atlanta, Georgia 30303-1763
Telephone: 404/572-4600
Facsimile: 404/572-5100
www.kslaw.com

FAX TRANSMITTAL SHEET

September 13, 2005

TO: Examiner Nalven
GAU 2134
U.S. Serial No. 09/874,574

Company: U.S. Patent and Trademark Office

Fax #: 571-273-8300
Mail Stop RCE

City/State: Alexandria, VA 22313

FROM: Steven P. Wigmore

5551

Our Ref. #:

05456.105035

NUMBER OF PAGES (including transmittal sheet) 40

CONFIDENTIALITY NOTICE

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED FOR THE USE OF THE ADDRESSEE LISTED ABOVE. IF YOU ARE NEITHER THE INTENDED RECIPIENT NOR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS TELECOPIED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TELECOPY IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE TO ARRANGE FOR RETURN OF THE ORIGINAL DOCUMENTS TO US.

If transmission problems occur or you are not the intended recipient, please call 404.572.2459 immediately.
Thank you.

Notes/Comments:

Documents Submitted Via Facsimile:

Applicant: Robert David Graham

Serial No.: 09/874,574

Filed: June 4, 2001

For: A System and Method for Preventing Network Misuse

Papers Faxed: Request for Continued Examination in duplicate (2-pgs.); Amendment Transmittal in duplicate (2-pgs.); One-Month Petition for Extension of Time in duplicate (2-pgs.); Amendment and Response Under 37 C.F.R. § 1.116 (33-pgs.).

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

SEP 13 2005

**Request for Continued
Examination (RCE) Transmittal**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

Application No.	09/874,574
Filing Date	June 4, 2001
Named Inventor(s)	
Robert David Graham	
Group/Art Unit	2134
Examiner Name	Andrew Nalven
Attorney Docket No.	05456.105035

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1222 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on _____, (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment and Response Submitted Under 37 C.F.R. 1.116.
- ii. ☒ One-Month Petition for Extension of Time.
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other.

2. Miscellaneous

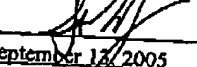
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR § 1.17(i) required)
- b. ☐ Other _____

3. Fees (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0980.
- i. ☒ RCE Fee required under 37 CFR § 1.17(e) [Fee: \$790.00]
- ii. ☒ One-Month Extension of time fee \$120.00 (37 CFR §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

Signature of Applicant, Attorney or Agent Required

Steven P. Wigmore, Esq.
KING & SPALDING LLP
45th Floor, 191 Peachtree Street, N.E.
Atlanta, Georgia 30303

By: 
Date: September 13, 2005
Telephone: 404.572.4600
Customer No. 20786

Reg. No. 40,447

Facsimile: 404.572.5145

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being facsimile transmitted to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: GAU: 2134, Examiner Andrew Nalven, Facsimile No. 571-273-8300 on September 13, 2005.


Steven P. Wigmore, Reg. No. 40,447

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

SEP 13 2005

DUPLICATE

**Request for Continued
Examination (RCE) Transmittal**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

Application No.	09/874,574
Filing Date	June 4, 2001
Named Inventor(s)	
Robert David Graham	
Group/Art Unit	2134
Examiner Name	Andrew Nalven
Attorney Docket No.	05456.105035

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicants may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(a) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (mar. 20, 2000), 1222 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on _____, (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- iii. ☐ Other _____.
- b. ☒ Enclosed
- i. ☒ Amendment and Response Submitted Under 37 C.F.R. 1.116.
- ii. ☒ One-Month Petition for Extension of Time.
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other.

2. Miscellaneous

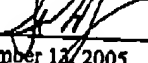
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR § 1.17(i) required)
- b. ☐ Other _____.

3. Fees (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0980.
- i. ☒ RCE Fee required under 37 CFR § 1.17(e) [Fee: \$790.00]
- ii. ☒ One-Month Extension of time fee \$120.00 (37 CFR §§ 1.136 and 1.17)
- iii. ☐ Other _____.
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

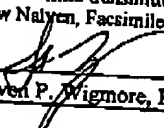
Signature of Applicant, Attorney or Agent Required

Steven P. Wigmore, Esq.
KING & SPALDING LLP
45th Floor, 191 Peachtree Street, N.E.
Atlanta, Georgia 30303

By:  Reg. No. 40,447
Date: September 13, 2005
Telephone: 404.572.4600
Customer No. 20786
Facsimile: 404.572.5145

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being facsimile transmitted to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: GAU: 2134, Examiner Andrew Nalven, Facsimile No. 571-273-8300 on September 13, 2005.


Steven P. Wigmore, Reg. No. 40,447

DUPLICATE

BEST AVAILABLE COPY

PATENTS

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of:

Robert David Graham

Docket No. 05456.105035

Serial No.: 09/874,574

Art Unit 2134

Filed: June 4, 2001

For: **System and Method for Managing Security
Events on a Network**

Examiner: Andrew L. Naven

Confirmation No.: 5484

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 13, 2005

Sir:

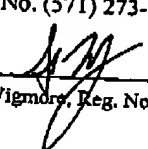
Transmitted herewith are papers in the above-identified application.

- ☒ Request for Continued Examination (RCE) fee \$790.00 charged to Deposit Acct No. 11-0980.
☒ Amendment and Response under 37 C.F.R. § 1.116.
☒ Petition for One-Month Extension of Time Fee \$120.00 charged to Deposit Acct No. 11-0980.
☒ An additional fee is not required.
☐ The additional fee is calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY		LARGE ENTITY	
					RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	52	MINUS	57=	0	x25	\$0	x50	\$0
INDEP.	6	MINUS	6=	0	x100	\$0	x200	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+180	\$	+360	\$0
TOTAL					ADDITIONAL FEE			
					\$0		\$0	

- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or to credit any overpayment to Deposit Account No. 11-0980. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being facsimile transmitted to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Examiner A. Nalven, GAU 2134, Facsimile No. (571) 273-8300 on September 13, 2005.


Steven P. Wignore, Reg. No. 40,447

KING & SPALDING LLP
45th Floor
191 Peachtree Street, N.E.
Atlanta, Georgia 30303
Telephone: 404.572.4600

By: 
Steven P. Wignore, Reg. No. 40,447

3709333 v1

BEST AVAILABLE COPY

DUPLICATE

PATENTS

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of:

Robert David Graham

Docket No. 05456.105035

Serial No.: 09/874,574

Art Unit 2134

Filed: June 4, 2001

For: System and Method for Managing Security
Events on a Network

Examiner: Andrew L. Naven

Confirmation No.: 5484

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 13, 2005

Sir:

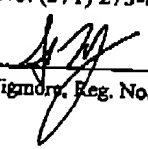
Transmitted herewith are papers in the above-identified application.

- ☒ Request for Continued Examination (RCE) fee \$790.00 charged to Deposit Acct No. 11-0980.
☒ Amendment and Response under 37 C.F.R. § 1.116.
☒ Petition for One-Month Extension of Time Fee \$120.00 charged to Deposit Acct No. 11-0980.
☒ An additional fee is not required.
☐ The additional fee is calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY		LARGE ENTITY	
					RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	52	MINUS	57=	0	x25	\$0	x50	\$0
INDEP.	6	MINUS	6=	0	x100	\$0	x200	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+180	\$	+360	\$0
TOTAL					ADDITIONAL FEE		\$0	

- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or to credit any overpayment to Deposit Account No. 11-0980. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being facsimile transmitted to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Examiner A. Nalven, GAU 2134, Facsimile No. (571) 273-8300 on September 13, 2005.


Steven P. Wigmore, Reg. No. 40,447

KING & SPALDING LLP
45th Floor
191 Peachtree Street, N.E.
Atlanta, Georgia 30303
Telephone: 404.572.4600

By: 
Steven P. Wigmore, Reg. No. 40,447

DUPLICATE

3709335 v1

BEST AVAILABLE COPY